|  |   |  | Revised December 5                   | , 2010  |  |   |  |
|--|---|--|--------------------------------------|---|--|---|--|
| Sponsor/NCT  | Intervention  | Inclusion/   | <u>Treatment</u>                     | Enrollment  | Phase of<br>Study                                  | Primary Outcome   | <u>Comments</u>  |
|  |   | Criteria   | Follow-up                            |   | <u>study</u>                                       | <u>Other Outcomes</u>   |  |
| AOSpine N. Am<br>Research Network,<br>Reeve Foundation,<br>Dept of Defense                   | Riluzole 2 x 100 mg by mouth or feeding tube the<br>first 24 hours followed by 2 x 50 mg for the<br>following 13 days after injury vs. placebo in acute<br>SCI  | 18-75 yr Age<br>C4-C8<br>AIS A, B, C                     | Acute SCI<br>SCI≤12 hours<br>F/U 6m  | Began 8/2013<br>N. America<br>Multicenter<br>351 subjects | Phase 2/3<br>RCT<br>Double-Blind                   | Efficacy/Safety<br>Change in ISNCSCI total motor<br>score from baseline to 6months of<br>F/U  | Multicenter Phase2/ 3 trial<br>of riluzole vs. placebo for<br>improving motor recovery in<br>acute SCI   |
| Rick Hansen<br>Institute<br>NCT01597518  |   |  |                                      |   |  |   |  |
| Rick Hansen<br>Institute<br>U of Calgary<br>Alberta Paraplegia<br>Foundation<br>NCT01828203  | Twice Daily IV Minocycline vs. Placebo for over<br>seven days<br>All patients receive decompressive spine surgery<br>and Blood Pressure management per protocol | ≥16yr Age<br>C0-C8<br>AIS A, B, C, D                     | Acute SCI<br>SCI≤12 hours<br>F/U 12m | Began 6/2013<br>Canada<br>248 subjects                    | Phase 3<br>RCT                                     | Efficacy/Safety<br>ISNCSCI Motor Score recovery<br>from baseline to examination<br>between 3m and 1yr post injury;<br>ISNCSCI Sensory Scores<br>AIS; SCIM; QoL: SF-36 | 800 mg initial dose tapered<br>100mg each dose to 400mg<br>then continued twice daily x<br>7days   |
| Ohio State Univ.<br>NCT02524379  | 72 hour IV infusion of glyburide (RP-1127) started<br>within 6 hours of SCI   | 18-70yr Age<br>C4-C8<br>AIS A, B, C                      | Acute SCI<br>SCI≤6hrs<br>F/U 1yr     | Began 8/2015<br>USA multisite<br>10 subjects              | Phase n.s.<br>Single Group<br>Open Label           | Adverse Events<br>Preliminary Efficacy (n.s.)   | Single group early phase<br>safety study of IV glyburide<br>in acute SCI   |
| Vertex<br>Pharmaceuticals<br>NCT02669849   | VX-210 (3mg or 9mg dose) in fibrin sealant applied<br>to the dura at the time of spinal<br>decompression/stabilization surgery within 72hr<br>of SCI            | 14-75yr Age<br>C4-6 Motor<br>Level each side<br>AIS A, B | Acute SCI<br>SCI≥72hr<br>F/U 6m      | Began 2/2016<br>150 subjects                              | Phase 2/3<br>RCT<br>Parallel Group<br>Double Blind | ISNCSCI UEMS/Motor Level<br>SCIM III<br>CUE-T<br>GRASSP<br>AIS<br>Pharmacokinetics  | RCT to determine whether<br>VX-210 delivered during<br>spinal surgery is effective in<br>neurological recovery and<br>functional capacity in<br>persons with acute SCI |
| Kringle Pharma, Inc<br>NCT02193334   | IT injection of 0.6mg Hepatocyte Growth Factor<br>(HGF) vs. placebo starting at 72hr post injury, then<br>weekly x5 weeks                                       | 18-75yr Age<br>C4-C8<br>AIS A, B                         | Acute SCI<br>SCI ≤72hr<br>F/U 24wk   | Began 6/2014<br>Japan<br>48 subjects                      | Phase 1/2<br>RCT<br>Placebo<br>Controlled          | Safety/Efficacy<br>Adverse Events<br>ASIA Motor Score Change 24wk   | Study of intrathecal HGF vs.<br>placebo given within 72h<br>then daily for 5 days  |
| Jan Schwab,<br>Elise Kroner<br>Fresnius<br>Foundation, Charite<br>University<br>NCT 02096913 | Dolormin extra (ibuprofen) 2400mg daily (800mg 3<br>times per day) for 4 wks (6 subjects) or 12wks<br>(6subjects)   | 18-65yr Age<br>C4-T4<br>AIS A, B                         | Acute SCI<br>4d≤SCI≤21d<br>F/U 6m    | Began 6/2014<br>Germany<br>12 subjects                    | Phase 1<br>Open Label                              | Safety/Efficacy<br>Severe Gastroduodenal Bleed<br>Modified Ashworth Scale<br>Neuropathic Pain Scale<br>ISNCSCI  | Safety study of oral<br>ibuprofen for 1 or 3 months<br>in acute SCI also measuring<br>ISNCSCI, spasticity and<br>pain outcomes   |
| Hotchkiss Brain<br>Inst U of Calgary<br>NCT02232165  | Medical management of blood pressure to target of<br>mean arterial pressure ≥65mmHg vs. ≥85mmHg<br>for 7days following SCI                                      | ≥16yr Age<br>C0-T12<br>AIS A, B, C<br>No Central Cord    | Acute SCI<br>SCI≤12hr<br>F/U 1yr     | Began 3/2012<br>Calgary,<br>Alberta<br>100 subjects       | Phase 3<br>RCT<br>Parallel Group<br>Double Blind   | ASIA motor score change<br>ASIA sensory score change<br>AIS improvement<br>SF-36<br>SCIM, FIM   | Non-inferiority study of<br>hypotension avoidance vs.<br>induced hypertension  |

| Sponsor/NCT   | Intervention  | Inclusion/<br>Exclusion   | Treatment   Timing &                                | Enrollment  | Phase of<br>Study   | Primary Outcome<br>Other Outcomes  | <u>Comments</u>   |
|---|---|---|---|---|---|--|---|
| Oregon Health and                                     | Pharmacological management of blood pressure in   | Criteria<br>>18vr Age   | Follow-up<br>Acute SCI                              | Not vet recruit   | Phase n.s.  | ASIA motor score change  | Randomized Trial of Early   |
| Science University<br>Dept of Defense<br>NCT02878850  | persons with acute SCI; comparing BP kept in a<br>higher range (85-90mmHg), vs. BP kept in a<br>normal range (MAP 65-70mmHg) for 7 days   | C0-T8<br>AIS A, B<br>No Central Cord<br>No Penetrating<br>Injury                    | Time post SCI ns<br>F/U 6m                          | USA<br>Multicenter<br>152 subjects                      | RCT<br>Parallel Group<br>Single Blind                                       | ASIA sensory score change<br>SCIM III<br>Pain Scores<br>QoL Satisfaction Score<br>Cardiovascular Adverse Events                          | Hemodynamic Management<br>of Patients Following Acute<br>Spinal Cord Injury,<br>comparing 2 BP target<br>ranges   |
| St. Joseph's Hosp<br>NCT02495545                      | CSF Drainage (target IT pressure 10mmHg) and<br>elevation of Mean Arterial Pressure (MAP) with<br>norepinephrine (goal 100-110 mmHg) vs.<br>Elevation/maintenance of MAP alone with<br>norepinephrine (goal 85-90mmHg) for 5d.                  | 18-75yr Age<br>C4-C8<br>AIS A, B, C   | Acute SCI<br>SCI≤24h<br>F/U 180d                    | Began 10/2015<br>USA Arizona,<br>Alabama<br>60 subjects | Phase 2B<br>Parallel Group<br>RCT<br>Open Label                             | Change in IT Pressure<br>ISNCSCI TMS<br>AIS<br>UEMS, LEMS, sensory scores<br>SCIM<br>Pain  | RCT to study the effect of<br>CSF drainage and BP<br>support in acute SCI   |
| Moleac Pte Ltd.<br>NCT02537899                        | NeuroAiD (a "natural product" combining several<br>Chinese herbal ingredients) given in oral capsule<br>form for 6 months; combined with standard<br>rehabilitation therapies   | 18-65yr Age<br>AIS A, B   | Acute/Subacute<br>SCI<br>3d-4wk post SCI<br>F/U 24m | Began 6/2015<br>Malaysia<br>30 subjects                 | Phase 4<br>Open Label<br>Case Series  | AIS<br>ISNCSCI Motor/Sensory Scores<br>SCIM<br>SF-8<br>Adverse Events  | Open label study of Chinese<br>herbal supplement plus<br>rehabilitation in<br>acute/subacute SCI  |
| Emory University<br>Wings for Life<br>NCT02274116     | Effect of acute intermittent hypoxia (AIH,<br>breathing air with low oxygen) vs. Room air<br>(breathing air with normal oxygen) placebo on Leg<br>Function following SCI  | 18-77yr Age<br>C4-T12<br>AIS C, D   | Chronic SCI<br>SCI>12m<br>F/U 4m                    | Began 10/2014<br>Atlanta<br>20 Subjects                 | Phase 1/2<br>RCT<br>Double<br>Blinded<br>Placebo<br>Controlled<br>Crossover | Change in over ground walking<br>speed and endurance   | Repetitive Exposure of<br>Intermittent Hypoxia to<br>Enhance Walking Recovery<br>in Persons With Chronic<br>Spinal Cord Injury  |
| Emory University<br>NICHD<br>NCT02323698              | Effect of acute intermittent hypoxia (AIH,<br>breathing air with low oxygen) with caffeine or<br>placebo vs. Room air (breathing air with normal<br>oxygen) sham with caffeine or placebo on Leg<br>Function following SCI (Caffeine Sub-study) | 18-77yr Age<br>C2-T11<br>AIS C, D   | Chronic SCI<br>SCI>12m<br>F/U 2weeks                | Began 10/2014<br>Atlanta<br>20 Subjects                 | Phase 1/2<br>RCT<br>Double<br>Blinded<br>Placebo<br>Controlled<br>Crossover | Change in over ground walking<br>speed and endurance<br>Muscle Strength<br>Coordination<br>Kinematics<br>Force Production during walking | Study on the Effects of<br>Caffeine and Low Oxygen<br>Therapy on Leg Function in<br>Human Spinal Cord Injury  |
| Emory University<br>US Dept of Defense<br>NCT02632422 | 10 sessions of daily acute intermittent hypoxia<br>(dAIH) vs. daily room air (dSHAM); ambulatory<br>subjects in both groups will also receive 60<br>minutes of walking practice at a frequency of up to<br>5 days each week for 2 weeks         | 18-65yr Age<br>C4-T11<br>Some motor<br>function below<br>neuro level<br>AIS B, C, D | Subacute SCI<br>SCI for 2-4m<br>F/U 2weeks          | Began 10/2015<br>Atlanta, GA<br>125 subjects            | Phase n.s.<br>RCT<br>Parallel Group<br>Double Blind                         | TUG<br>6 minute walk test<br>10 meter walk test<br>Pain, Spasticity<br>Hypertension<br>Autonomic Dysreflexia incidence                   | RCT of daily acute<br>intermittent hypoxia vs.<br>sham (room air) in non-<br>ambulatory and ambulatory<br>subacute incomplete SCI to<br>determine effect on recovery<br>of walking function |

| Sponsor/NCT   | Intervention   | Inclusion/<br>Exclusion  | <u>Treatment</u><br>Timing &                      | Enrollment                                   | Phase of<br>Study   | Primary Outcome<br>Other Outcomes   | <u>Comments</u>   |
|---|--|--|---|--|---|---|---|
|   |  | Criteria   | Follow-up   |  | <u></u>   |   |   |
| Emory University<br>NICHD<br>NCT02323945            | Effect of acute intermittent hypoxia (AIH,<br>breathing air with low oxygen) vs. Room air<br>(breathing air with normal oxygen) sham on Leg<br>Function following SCI  | 18-77yr Age<br>C2-T11<br>AIS C, D  | Chronic SCI<br>SCI>12m<br>F/U 2weeks              | Began 10/2014<br>Atlanta<br>44 Subjects      | Phase 1/2<br>RCT<br>Double<br>Blinded<br>Placebo<br>Controlled<br>Crossover | Change in over ground walking<br>speed and endurance<br>Muscle Strength<br>Coordination<br>Kinematics<br>Force Production during walking<br>BDNF, Apolipoprotein E<br>Polymorphisms             | Study to gain understanding<br>of underlying mechanisms of<br>AIH effect on Leg Function<br>after SCI   |
| University of Miami<br>Miami Project<br>NCT02354625 | Surgical implantation of autologous Schwann Cells<br>harvested from the sural nerve of the participant<br>transplanted into the epicenter of the participant's<br>spinal cord injury   | 18-65yr Age<br>SCI C5-T12<br>(Thoracic<br>cohort followed<br>by Cervical<br>Cohort)<br>AIS A, B, C<br>SCI≤3cm length | Chronic SCI<br>SCI≥12m<br>F/U 6m                  | Began 1/2015<br>Miami<br>10 Subjects         | Phase 1<br>Single Group<br>Open Label                                       | Safety/Efficacy<br>Change in ISNCSCI Exam from<br>baseline to 12 months<br>MRI Imaging of the Spinal Cord<br>Neuropathic Pain measure<br>Others: SCIM, FIM,<br>Neurophysiology, autonomic, etc. | Study of the safety of<br>autologous human Schwann<br>cell (ahSC) transplantation<br>in participants with chronic<br>SCI receiving rehabilitation |
| Asterias<br>Biotherapeutics<br>NCT02302157          | Surgical spinal cord implantation of embryonic<br>stem cell-derived Oligodendrocyte Progenitor<br>Cells (AST-OPC1). Dose escalation with sequential<br>cohorts receiving an 2, 10, or 20 million AST-OPC1<br>at a single time-point 14-30 days after injury. | 18-69yr Age<br>C5-C7<br>AIS A, B   | Subacute SCI<br>14-30 days post<br>SCI<br>F/U 1yr | Began 3/2015<br>USA multisite<br>35 subjects | Phase 1/2a<br>Open Label  | Safety<br>Incidence of Adverse Events<br>ISNCSCI<br>UEMS<br>Motor Level   | Phase 1/2a Dose Escalation<br>Study of AST-OPC1 in<br>Subjects With Cervical AIS A<br>and B SCI   |
| Hospital Sao Rafael<br>NCT02152657                  | Autologous Mesenchymal Cells (Bone Marrow)<br>transplanted into the spinal cord via<br>transcutaneous injection (location n.s.)  | 18-65yr Age<br>SCI below T8<br>AIS A   | Chronic SCI>6m<br>F/U 6m                          | Began 1/2015<br>Brazil<br>5 subjects         | Phase 1/2<br>Single Group<br>Open Label                                     | MRI<br>Sensory/motor examination<br>LEMS/AIS change<br>Urodynamics  | Small pilot trial to study<br>percutaneous Spinal Cord<br>injection of Mesenchymal<br>SC  |
| Hospital Sao Rafael<br>NCT02574572                  | Autologous bone marrow mesenchymal stem cell<br>transplantation in patients with cervical chronic<br>and complete spinal cord injury (location n.s.)   | 18-65yr Age<br>C5-C7<br>AIS A  | Chronic SCI≥12m<br>F/U 12m                        | Began 10/2015<br>Brazil<br>10 subjects       | Phase 1<br>Single Group<br>Open Label                                       | AE assessed by spinal cord MRI<br>AIS<br>Sensory Mapping<br>Neuropathic Pain  | Autologous Mesenchymal<br>Stem Cells Transplantation<br>in Subjects With Cervical<br>Chronic Complete SCI   |
| Hospital Sao Rafael<br>NCT02574585                  | Autologous mesenchymal cells transplantation.<br>Two percutaneous injections (location n.s.) of<br>mesenchymal stem cells, with a 3-month interval<br>between the injections; vs. randomly assigned<br>control group without any specific intervention       | 18-65yr Age<br>T1-L2<br>AIS A  | Chronic SCI≥12m<br>F/U 12m                        | Not yet recruit<br>Brazil<br>40 subjects     | Phase 2<br>RCT<br>Parallel Group<br>Open Label                              | AE assessed by spinal cord MRI<br>AIS<br>Sensory Mapping<br>Neuropathic Pain  | RCT for the evaluation of<br>autologous mesenchymal<br>stem cell transplantation in<br>thoracolumbar chronic<br>complete SCI                      |

|                      | <b>T</b>   | <b>T</b> 1 • /   |                      |                 | D1 C                             |                           |                             |
|----------------------|--|------------------|----------------------|-----------------|----------------------------------|---------------------------|-----------------------------|
| Sponsor/NCI          | Intervention   | Inclusion/       | Ireatment            | Enrollment      | Phase of                         | Primary Outcome           | <u>Comments</u>             |
|                      |  | <b>Exclusion</b> | <u>Timing &amp;</u>  |                 | <u>Study</u>                     | Other Outcomes            |                             |
|                      |  | Criteria         | Follow-up            |                 |                                  |                           |                             |
|                      |  |                  | <b>*</b>             |                 |                                  |                           |                             |
| Neurogen Brain and   | Autologous Bone Marrow Mononuclear Cells                     | 1-65yr Age       | Time post-SCI        | Began 8/2012    | Phase 2                          | Change in symptoms of SCI | Large Phase 2, Non-         |
| Spine Institute      | administered by IT injection via lumbar puncture,            | SCI of any type  | Not Specified        | Mumbai,         | non-                             | FIM                       | randomized, open label,     |
| NCT02009124          | followed by vigorous rehabilitation therapy                  |                  | F/U 6m               | India           | randomized                       |                           | parallel group trial of BM  |
|                      |  |                  |                      | 500 subjects    | Open Label                       |                           | stem cells                  |
|                      |  |                  |                      |                 | Parallel Group                   |                           |                             |
|                      |  | 20. (0. 4        |                      | D 0/0047        | D1 4/2                           |                           |                             |
| Da Nang Hospital     | Autologous Bone Marrow Mononuclear Cells                     | 20-60yr Age      | Subacute-Chronic     | Began 9/2016    | Phase 1/2                        | Safety/Adverse Events     | Open label, single group    |
| NC102923817          | administered by 11 injection via lumbar puncture             | SUI Level IN.S.  | $SCI \ge 5WK$ to lyr | Da Nang,        | Single Group                     | ISINCSCI Motor/Sensory    | study of bone marrow-       |
|                      |  | AIS A, B         | F/U 6m               | vietnam         | Open Label                       | AIS                       | derived mononuclear cells   |
|                      |  |                  |                      | 50 subjects     |                                  |                           | transplanted via lumbar     |
| University of Lordon | Comparison of Autologous Mason shum al Stom                  | 19.70            | Subacuta Cheoria     | Baccon 11/2016  | $\mathbf{D}\mathbf{h}_{aaa} 1/2$ | Sofaty / Advance Events   | Comparison of outologous    |
| NCT02081576          | Comparison of Autologous Mesenchymai Stem                    | SCI Lovel N S    | Subacule-Chilome     | Aman Jordan     | Pinase 1/2<br>Dandomized         | Salety/Adverse Events     | Bone Marrow derived and     |
| 102901570            | Tissue administered by three separate IT                     | AIS A B C        | F/II 12m             | Allian, joiuan  | Randonnized<br>Parallel Group    |                           | Adjnose Tissue derived stem |
|                      | injections   | ліз л, b, C      | 17/012111            | 14 Subjects     | Open Label                       | MBI                       | cells                       |
|                      | injections   |                  |                      |                 | Open Laber                       | WIKI                      | cens                        |
| Ferrer Internacional | Single intramedullary injection of FAB117-HC, a              | 18-65yr Age      | Acute SCI            | Not yet recruit | Phase 1/2                        | Safety/Adverse Events     | Study of medicinal product  |
| NCT02917291          | medicinal product containing human allogeneic                | T5-10 (Phase 1)  | Phase 1: 72-120hr    | Spain           | Randomized                       | ISNCSCI                   | containing allogeneic       |
|                      | adipose-derived adult mesenchymal stem cells in              | T1-12 (Phase 2)  | Phase 2: 24-72hr     | 46 subjects     | Parallel Group                   | SCIM III                  | adipose-derived adult       |
|                      | either 20 million or 40 million cell doses; Phase 2          | AIS A (Phase 1), | F/U 12m              |                 | Double Blind                     | SSEP                      | mesenchymal stem cells      |
|                      | includes untreated control group; treatment group            | A, B (Phase 2)   |                      |                 |                                  | MEP                       | pulsed with H2O2, injected  |
|                      | receives highest tolerated dose from Phase 1                 |                  |                      |                 |                                  |                           | into SCI during clinical    |
|                      |  |                  |                      |                 |                                  |                           | decompressive spine surgery |
| BioArctic            | Surgical implantation of SC0806 (a biodegradable             | 18-65yr Age      | Chronic SCI          | Began 6/2015    | Phase 1/2                        | Safety/Adverse Events     | Rehabilitation-controlled   |
| Neuroscience AB      | device with heparin-activated FGF1 and peripheral            | T2-T11           | 4m-48m post SCI      | Sweden          | Parallel Group                   | MEP improvement           | RCT studying SC0806 (a      |
| NCT02490501          | nerve implants); both surgical implant and control           | AIS A            | F/U 18m              | 27 subjects     | RCT                              |                           | biodegradable device with   |
|                      | groups receive rehabilitation (walking training).            |                  |                      |                 |                                  |                           | heparin-activated FGF1 and  |
|                      | Control subjects will be offered SC0806 treatment            |                  |                      |                 |                                  |                           | nerve implants)             |
|                      | after completion of their rehabilitation                     |                  |                      |                 |                                  |                           |                             |
| Sun Yat-Sen Univ.    | IT administration of up to 1x 10 <sup>6</sup> umbilical cord | 18-60yr Age      | Acute-Chronic        | Began 1/2014    | Phase 3                          | ISNCSCI ASIA score change | IT injection of umbilical   |
| 3rd Affil. Hospital  | mesenchymal stem cells per kg, every month for 4             | SCI Level n.s.   | 2w-1yr post-SCI      | China           | Single Group                     | EMG                       | cord blood mesenchymal      |
| NCT02481440          | months   | AIS A, B, C, D   | F/U 24m              | 44 subjects     | Open Label                       | Electroneurophysiology    | stem cells                  |
|                      |  | 10.65            |                      | D 4/0045        |                                  | Adverse Events            |                             |
| Uninese Acad. of Sci | Collagen scattold transplanted into spinal cord              | 18-65yr Age      | Acute SCI            | Began 4/2015    | Phase 1                          | AIS<br>SCED MED           | Functional Neural           |
| University of CAPF   | after acute spinal cord injury                               |                  | $5U1 \ge 210$        | Soocnow, and    | Single Group                     | SSEP, MEP                 | Regeneration Collagen       |
| Souchow University   |  | AISA             | Г/U 12m              | 10 aubic ata    | Open Label                       | Adverse Events            | Scanold Transplantation in  |
| 1NC 102310305        |  |                  |                      | 10 subjects     |                                  |                           | Complete Acute SCI          |

| Sponsor/NCT          | Intervention  | Inclusion/                             | Treatment       | Enrollment                    | Phase of              | Primary Outcome               | Comments                         |
|----------------------|---|--|-----------------|-------------------------------|-----------------------|-------------------------------|----------------------------------|
|                      | Intervention  | Evolucion                              | Timing &        | Emonitent                     | Study                 | Other Outcome                 | <u>Comments</u>                  |
|                      |   | Criterie                               | $\frac{1}{1}$   |                               | <u>study</u>          | <u>Other Outcomes</u>         |                                  |
|                      |   | Criteria                               | Follow-up       |                               |                       |                               |                                  |
| Chinese Acad. of Sci | Surgical implantation of NeuroRegen scaffold with                       | 18-65vr Age                            | Chronic SCI     | Began 1/2016                  | Phase 1/2             | AIS                           | Study to assess the efficacy     |
| University of CAPF   | either 10 <sup>7</sup> mesenchymal stem cells or 10 <sup>7</sup> neural | C5-T12                                 | Time after SCI  | Tianjin, China                | RCT                   | SSEP/MEP                      | & safety of mesenchymal          |
| NCT02688049          | stem cells into the spinal cord in patients with                        | AIS A                                  | n.s.            | 30 Subjects                   | Parallel Group        | FIM                           | stem cells or neural stem        |
|                      | chronic spinal cord injury. All patients have                           |  | F/U 24m         | ,                             | Double Blind          | MRI                           | cells combined with              |
|                      | surgical removal of spinal cord scar tissue, and                        |  |                 |                               |                       | <b>Bladder/Bowel Function</b> | NeuroRegen scaffold              |
|                      | have post-operative comprehensive rehabilitation                        |  |                 |                               |                       | Safety/Tolerability/AE        | transplantation in patients      |
|                      |   |  |                 |                               |                       |                               | with chronic SCI                 |
|                      |   | 40.00                                  |                 | D 4/2047                      |                       |                               | DOT                              |
| Chinese Acad. of Sci | NeuroRegen Scatfold <sup>114</sup> with bone marrow                     | 18-60yr Age                            | Chronic SCI     | Began 1/2016                  | Phase 1/2             | AIS<br>SSED/MED               | RC1 comparing                    |
| NCT02688062          | decompression and adhesiolysis in persons with                          |  | Time after SCI  | Deijing, China<br>22 subjects | RC1<br>Parallel Group | SSEF/MEP<br>FIM               | BM monopuclear cells vs          |
| 1102000002           | chronic SCI   | AISA                                   | F/I 24m         | 22 subjects                   | Double Blind          | MRI                           | intradural decompression         |
|                      |   |  | 1 / 0 2411      |                               | Double Dillia         | Bladder/Bowel Function        | with lysis of adhesions          |
|                      |   |  |                 |                               |                       | Safety/Tolerability/AE        | with typic of <b>uc</b> heoronic |
|                      |   |  |                 |                               |                       |                               |                                  |
| InVivo Therapeutics  | Surgical Implantation of PLGA Poly-L-Lysine                             | 16-70yr Age                            | Acute SCI       | Began 4/2014                  | Phase 3               | AIS                           | Humanitarian Device              |
| NCT02138110          | Scaffold (Neuro-Spinal Scaffold) into the injured                       | T2-T12                                 | Able to receive | 16 USA                        | Single Group          | Motor Scores/Sensory Scores   | Exemption (HDE) Probable         |
|                      | spinal cord in subjects with complete thoracic AIS                      | AISA                                   | implant ≤96h    | Centers                       | Open Label            | SCIM III                      | Benefit Study to demonstrate     |
|                      | A spinal cord injury  | Can receive                            | after SCI       | 20 Subjects                   |                       | Bowel/Bladder Function        | safety and probable benefit      |
|                      |   | 11111111111111111111111111111111111111 | F/U om          |                               |                       | Incidence of AE               | and an HDE application           |
|                      |   | MRI contusion                          |                 |                               |                       |                               | with subsequent FDA              |
|                      |   | ≥4mm                                   |                 |                               |                       |                               | approval                         |
|                      |   |  |                 |                               |                       |                               |                                  |
| Washington U         | Brachialis branch to anterior interosseous nerve                        | 18-65yr Age                            | Chronic SCI>6m  | Began 10/2012                 | Phase not             | Upper Extremity Strength      | Study of peripheral nerve        |
| US Department of     | transfer  | Cervical SCI;                          | F/U 24m         | St. Louis, MO                 | specified;            | (Manual Muscle Testing)       | transfer for improving UE        |
| Defense              |   | No hand                                |                 | 20 subjects                   | Single Group          | DASH scale                    | strength in patients with        |
| NC101714349          |   | function;                              |                 |                               | Open Label            | SF-36                         | tetraplegia/no hand function     |
|                      |   | AIS A, D, C Or                         |                 |                               |                       | Complication rates            |                                  |
|                      |   | syndrome                               |                 |                               |                       |                               |                                  |
|                      |   | SCI>6mos                               |                 |                               |                       |                               |                                  |
|                      |   |  |                 |                               |                       |                               |                                  |
| U British Columbia   | Supinator branch to posterior interosseous nerve                        | ≥18yr Age                              | Chronic SCI     | Began 6/2012                  | Phase 4               | Upper Extremity Strength      | Study of peripheral nerve        |
| NCT01579604          | transfer  | Cervical SCI                           | 12m>SCI>6       | Vancouver,                    | RCT                   | (Manual Muscle Testing)       | transfer for improving UE        |
|                      |   | 12m>SCI>6m                             | F/U 24m         | BC                            | Open Label            | Active Range of Motion        | strength in patients with        |
|                      |   | ICSH 0-5                               |                 | 10 Subjects                   |                       | DASH scale                    | tetraplegia                      |
|                      |   |  |                 |                               |                       |                               |                                  |

| Sponsor/NCT       | Intervention                                       | Inclusion/       | <b>Treatment</b> | <b>Enrollment</b> | Phase of       | Primary Outcome            | Comments                      |
|-------------------|--|------------------|------------------|-------------------|----------------|----------------------------|-------------------------------|
|                   |  | <b>Exclusion</b> | Timing &         |                   | <u>Study</u>   | Other Outcomes             |                               |
|                   |  | <u>Criteria</u>  | Follow-up        |                   |                |                            |                               |
|                   |  |                  |                  |                   |                |                            |                               |
| Kunming Tongren   | Surgical decompression/untethering of the spinal   | 18-60yr Age      | Chronic SCI      | Began 7/2015      | Phase n.s.     | Kunming Locomotor Scale    | Surgical                      |
| Hospital          | cord, combined with daily intensive weight         | T1-T12           | SCI ≥12m         | Kunming,          | RCT            | WISCI                      | Decompression/Untethering     |
| China SCI Network | bearing rehabilitation compared to daily intensive | AIS A            | F/U 1yr          | China             | Parallel Group | SCIM                       | Combined With Weight          |
| NCT02663310       | weight bearing rehabilitation alone.               |                  |                  | 30 Subjects       | Single Blind   | AIS                        | Bearing Rehabilitation in     |
|                   |  |                  |                  |                   |                | Modified Ashworth          | Chronic Spinal Cord Injury    |
|                   |  |                  |                  |                   |                | Adverse Events, Pain       | Subjects                      |
| Tokyo University  | Early (<24h) vs. Delayed (>2wk) Decompression      | 20-79yr Age      | Acute/Subacute   | Began 12/2011     | Phase 1/2      | Safety/Efficacy            | Test of whether timing of     |
| NCT01485458       | surgery for acute cervical SCI in patients with    | Cervical         | Admitted within  | Japan             | RCT            | ISNCSCI motor sensory      | spinal cord decompression is  |
|                   | cervical canal stenosis without bony injury        | below C5         | 48 hours of SCI  | 100 subjects      | Open Label     | examination; SCIM; walking | associated with neurological  |
|                   |  | AIS C            | F/U 1yr          |                   |                | ability                    | outcome in SCI without        |
|                   |  |                  |                  |                   |                |                            | fracture/dislocation          |
| Nantes Univ Hosp  | Randomized assignment to early (within 48hr) vs.   | ≥18 yr Age       | Acute SCI        | Not yet           | Phase n.s.     | ISNCSCI TMS, UEMS,         | RCT to compare SCI            |
| NCT02673320       | delayed (at 15 days) spinal decompression surgery  | C2-T1            | SCI eligible for | enrolling         | RCT            | CUE                        | outcomes of decompressive     |
|                   |  | AIS A-D          | surgery within   | France            | Parallel Group | WISCI II                   | spine surgery within 48hr vs. |
|                   |  | Contusive SCI    | 48hrs            | 72 subjects       | Open Label     | SCIM III                   | surgery performed at 15 days  |
|                   |  | on MRI with      | F/U 2yr          |                   |                | SF-36                      |                               |
|                   |  | narrow canal     |                  |                   |                | MRI                        |                               |
|                   |  |                  |                  |                   |                | <b>AE/Complications</b>    |                               |

This table is abstracted from the clinical trial registration website www.clinicaltrials.gov using the search term "Spinal Cord Injury" and is updated periodically. The most recent update occurred December 5, 2016 at which time the <u>www.clinicaltrials.gov</u> search found a total of 831 SCI trials. Of these, the status of 271 trials was known and listed as currently recruiting or not yet recruiting. The table includes 34 SCI trials from the search that: 1) are currently actively recruiting or soon-to-be recruiting subjects; 2) are interventional (testing an intervention/treatment) using drugs, cell therapies, surgery, or hypoxia; and 3) targeted neurological or related functional improvement of the spinal cord as outcome measures.

Interventional clinical trials are routinely registered on <u>www.clinicaltrials.gov</u> based on legal requirements\* and because scientific journals may require registration for publication of the trial results. Investigators may choose not to register some early phase trials and those testing behavioral interventions, even though they may be important and scientifically rigorous studies.

\*U.S. Public Law 110-85 requires the registration and reporting of results of "certain applicable clinical trials," i.e., controlled interventional clinical trials that are subject to FDA regulation and that involve a Drug or Biologic (other than Phase I investigations), or Device (other than small feasibility studies); http://prsinfo.clinicaltrials.gov/fdaaa.html.

Terms/Abbreviations

## Spinal Cord Outcomes Partnership Endeavor (SCOPE, www.scope-sci.org)

### Current SCI Clinical Trials of Drug, Cell, and Surgical Interventions to Improve Neurological and Related Functional Outcomes

Revised December 5, 2016

NCT number: all trials registered with <u>www.clinicaltrials.gov</u> are assigned a registration number that begins with NCT (e.g. NCT01321333). The number listed for the trials in the table can be used in the search field of <u>www.clinicaltrials.gov</u> to access the webpage describing the trial, the study centers, and contact information in more detail.

Phase of Study: Clinical trials usually progress in phases from 1 to 4. (Note: trials that are not on the path to FDA/regulatory approval (e.g. trials of surgical techniques or rehabilitation therapies) may not have a phase designation.)

- 1. Phase 1 trials are usually first-in-human or first-in-disease/condition experiments that are intended to demonstrate feasibility (can it be done), safety (is it reasonably safe), and tolerability (are the side effects tolerable). Phase 1 trials usually do not include a comparison control group and as such, do not provide direct evidence of the interventions efficacy. Phase 1 trials usually enroll a small number of subjects and are commonly done at a single study center but may use a small number of collaborating centers.
- 2. Phase 2 trials follow successful completion of Phase 1. Phase 2 trials are used to develop information on intervention administration (how to give), dose (how much to give), timing (when and how long to give), effect of the intervention on the body (what does it do, beneficial or harmful). Phase 2 trials commonly utilize multiple study centers, many subjects, and include a randomized control group to provide direct information about efficacy and safety of the intervention. Phase 2 trials enable refinement of how to administer the intervention and how to measure its beneficial effects (what Outcome Measurement to use).
- 3. Phase 3 trials are conducted using the refined protocols developed from Phase 2 trials. Phase 3 trials are often termed "pivotal" studies because they are sufficiently well-designed and rigorously conducted that their results, if positive, can be used to make the case for regulatory approval (e.g. trials that lead to FDA approval for clinical use). Phase 3 trials almost always enroll large numbers of subjects (in the hundreds or more), use multiple study centers, and randomized control group design (with placebo control and double blinding if feasible). The FDA generally requires two successful confirmatory Phase 3 trials of an intervention for approval.
- 4. Phase 4 trials are conducted after regulatory (e.g. FDA) approval to gather additional safety and efficacy data.

Open Label: a trial in which there is no attempt to conceal the identity of the intervention from the subjects; i.e. there is no "blinding" or "masking" of the intervention—the subjects know that they are receiving either an "active ingredient" or a placebo.

RCT: Randomized Controlled Trial—a clinical trial in which subjects are randomly (like flipping a coin) assigned to either receive the active treatment or an alternative (control). Welldesigned RCT's minimize the influence of variables other than the intervention that might have an effect on the desired outcome. For this reason, they provide the best evidence of efficacy and safety. The most rigorous RCT's utilize a placebo (inactive) control group and blinding (concealing active vs. control assignment) to minimize bias in the interpretation of study results.

IV: intravenous—administration of a drug by vein

IT: intrathecal, within the subarachnoid space surrounding the spinal cord-e.g. administration of a drug into the subarachnoid space which contains the cerebrospinal fluid (CSF)

SQ: subcutaneous—administration of a drug by injection beneath the skin

#### F/U: follow-up

n.s.: not specified

ISNCSCI: International Standards for Neurological Classification of Spinal Cord Injury—sometimes referred to as the ASIA (American Spinal Injury Association) standards. This refers to the accepted international standards for performing motor/sensory physical examination of persons with spinal cord injury and the classification scheme for documenting the neurological level and the severity (completeness) of injury.

TMS/UEMS/LEMS: <u>Total Motor Score/Upper Extremity Motor Score/Lower Extremity Motor Score are components of the ISNCSCI that include the ASIA Motor Index Score (the TMS) and the sub-components of the UEMS and the LEMS which are commonly analyzed and reported separately.</u>

AIS: the ASIA (American Spinal Injury Association) Impairment Scale is a component of the ISNCSCI that classifies the degree of motor/sensory sparing below the level of injury. The AIS scale ranges from A (most severe, complete injury with no sparing of sensory/motor function in the sacral segments S4-S5 that innervate the anus/rectum) to E (normal). AIS B describes sensory only sparing; AIS C describes sensory and very weak motor sparing; AIS D describes sensory and stronger but not normal motor sparing.

Central Cord Syndrome/Cervical Central Cord Syndrome: motor incomplete cervical SCI in which the upper extremities are significantly more impaired than the lower extremities

Frankel Scale: an older scale for classifying severity of injury that was modified in 1992 to create the AIS.

Kunming Locomotor Scale: a 10-grade Roman numeral locomotion scoring system describing ability to stand, ability to walk, and required support/devices.

SCIM/SCIM II/SCIM III: the Spinal Cord Independence Measure is a measure of a person's ability to perform certain activities independently; i.e. an outcome measure of a research subject's independence in the performance of a variety of specific activities.

FIM: the Functional Independence Measure was developed to measure the burden of care in persons who were not independent in ADL, hygiene/self-care, and mobility. The FIM and its subscales have been used as an outcome measure of a research subject's independence in the performance of a variety of specific activities.

EMG: the electromyogram refers to a physiological test of muscle and nerve function.

GRASSP: Graded Redefined Assessment of Strength, Sensibility, and Prehension is a clinical measurement of upper limb function for use in person with tetraplegia (quadriplegia)

ICSH: International Classification for Surgery of the Hand in Tetraplegia is a clinical measure of hand function used by surgeons performing reconstructive surgery to improve function in persons with tetraplegia

### Spinal Cord Outcomes Partnership Endeavor (SCOPE, www.scope-sci.org)

### Current SCI Clinical Trials of Drug, Cell, and Surgical Interventions to Improve Neurological and Related Functional Outcomes

Revised December 5, 2016

IANR-SCIFRS: the International Association of Neurorestoratology-Spinal Cord Injury Functional Rating Scale.

Penn Spasm Frequency Scale: a measure of spasticity based on frequency of spasm occurrence

VAS: Visual Analogue Scale—a scale commonly used to assess the severity of pain

DASH: <u>D</u>isability of <u>Arm</u>, <u>S</u>houlder, <u>H</u>and scale is a measure of the upper extremity function

Ashworth/Modified Ashworth: a scale used to measure spasticity severity

Barthel Index: a measure of performance in Activities of Daily Living (ADL) and Mobility

SF-36: the Short Form-36 is a patient-reported survey of health status. The SF-36 is commonly used as a measure of Health-Related Quality of Life