# GLORIA SYDNA WATERS VICE PRESIDENT and ASSOCIATE PROVOST FOR RESEARCH ONE SILBER WAY, BOSTON, MA 02215 617-358-2040 | gwaters@bu.edu

EDUCATION			
Ph.D. (1981)	Psychology	Concordia Un Montreal, Qu	-
M.A. (1977)	Applied Psychology	Concordia University Montreal, Quebec	
B.A. (1975)	Honors Psychology	McGill Unive	
ACADEMIC APPOINTMEN	TS		
Boston University			
Vice President and Associate	Provost for Research	2013	3-Present
Dean, College of Health & Re	ehabilitation Sciences: Sargent Colleg	e 2005	5-2013
Dean ad-interim, School of H	ospitality Administration	1/20	12-7/2012
	anguage and Hearing Sciences	1997	7-Present
McGill University			
Acting Director, School of Co Faculty of Medicine	ommunication Sciences and Disorders	199	6-1997
-	f Communication Sciences and Disor	ders, 199	1-1997
Adjunct Professor, Division o	f Geriatric Medicine	199	3-2000
	f Communication Sciences and Disord		5-1991
Research Associate, Montreal			5-1988
	f Communication Sciences and Disord		3-1985
	of Communication Sciences and Disor		1-1983

# ADMINISTRATIVE APPOINTMENTS

# **Boston University**

Vice President and Associate Provost for Research

2013-present

- Responsible for overseeing the research enterprise for the university as whole at a time when research awards and expenditures increased from \$350m to over \$600m
- Areas of oversight include pre- and post-award financial operations, research compliance, technology transfer, corporate relations and industry engagement, research development, post-doctoral affairs, and over a dozen university-wide research centers; focused on operational excellence streamlining processes, reducing burdens, and improving customer service with participants from different areas of research administration
- **Sponsored Programs and Post-award Financial Operations** (PAFO): Worked with the Associate VP of Sponsored Programs to increase the quality and level of service and develop a set of performance metrics which resulted in dramatic increase in level of service offered to faculty; managed the merger of PAFO with Sponsored Programs to provide holistic support to the faculty; created shared service for smaller centers and colleges; created a strong research administrative community with launch of the Advisory Council for Research Administration and inaugural annual Award for Research Administration
- **Research Compliance:** Focused on streamlining processes, reducing burden, and improving customer service; worked with IS&T to introduce new suites of software to ease the burden of compliance and reduce the risk associated with outdated and poorly supported software packages, created new position for HIPPA Privacy Officer; at request of President created and chaired Research Compliance Committee university wide committee tasked with apprising the President and Provost about current and emerging issues associated with our obligation to comply with federally-sponsored research regulations and to review

our policies and procedures to ensure BU is in accord with best practices; also chaired the Task Force on Research Security and Conflict of Commitment and Implemented its Recommendations to Ensure Compliance with Federal Regulations

- University Council Committee on Research and Scholarly Activities: Chair committee tasked with developing and revising policies for research; policies developed include intellectual property, open access, crowd-funding, policy on classified research; research financial conflict of interest; guidelines for student engagement with industry; data retention; revised Principal Investigator policy; website policy; policy on PhD research outside of BU; policy on visiting scholars.
- **Research Development:** Put in place mechanisms to help identify and support major interdisciplinary research initiatives that are of strategic importance to the university, work with Foundation Relations and Federal Relations to identify funding opportunities, host meetings with funders and workshops on aspects of grantsmanship (e.g., developing broader participation plans, DEI statements); fostered interdisciplinary collaboration with events that bring faculty across disciplines together (e.g., Research on Tap series)
- **Professional Development and Postdoctoral Affairs**: Established University-wide office to provide professional development and support for postdocs; created policies related to minimum salary, years of service, health care benefits
- Industry Engagement: Chaired Task Forced on Industry Relations resulting in the creation of a new office of Industry Engagement; appointed new Director of the Office of Technology Transfer and an Associate Vice President for Industry Engagement; transitioned Director of Corporate Relations from Development to Industry Engagement; negotiated \$24m sponsored research agreement with JNJ; launched Red Hat Collaboratory; created a Working Group on Fee for Service and Institutional Consulting and put in place processes to manage the new types of relationships that are developing with industry
- Interdisciplinary Biomedical Research Office: In collaboration with the Department of Medicine, launched a new office under the direction of Dr. Katya Ravid to catalyze, promote and support biomedical research across the CRC and MED.
- University-wide Research Centers: Undertook an assessment to determine whether each of the existing centers was meeting the mission of increasing interdisciplinary collaboration and was appropriately placed in the organizational structure of Boston University resulted in the merger of several Centers, closure of some and transition of some to Schools/Colleges. Evaluated the need to establish new research centers in areas of strategic importance to the University resulting in the establishment of the Center for Systems Neuroscience, the Center for Sensory Systems and Neural Technologies, the Institute for Global Sustainability and the Biological Design Center as a center within ENG. Instituted yearly review of centers with cognate deans and five-year external reviews
- National Emerging Infectious Disease Lab (NEIDL): Represented University at public hearings for the granting of a permit for the NEIDL; following a major facilities event re-organized staffing resulting in successful awarding of permits to carry out BSL4 research; Chair University Operational Oversight Committee consisting of University Provost and leadership of each of the areas of operation within the NEIDL; Ex Officio member of committee resulting in successful Search for Director 2022
- CARB-X: Worked with faculty to develop the funding model for a private-public partnership to support the development of *Combating Antibiotic-Resistant Bacteria Biopharmaceutical Accelerator* (CARB-X) a global non-profit partnership accelerating antibacterial products to address drug-resistant bacteria; created central administrative structure to support CARB-X and negotiated administrative costs with funders with federal and foundation funders; managed central administration of CARB-X and acted as a liaison between the funders and the CARB-X team resulting in greater satisfaction of the funders with our responsiveness to their needs; chaired a successful search for an independent Chair of CARB-X's governing body, the Joint Oversight Board (JOB)
- Kilachand Fund: Oversee the call for proposals for interdisciplinary research across the life sciences and engineering supported by a \$100m endowed research fund and work with the President, Provost and Deans to decide on funding priorities
- Center for Integrated Life Sciences and Engineering: Served as the "client" for a new life sciences and engineering building working with the architects on lab design, furniture selection etc., with the deans to determine which faculty would have space in the building, developing a budget, hiring and overseeing a director of operations for the building
- **Cognitive Neuroimaging Center**: Worked with the faculty to create the, obtain external funding, staff it and develop a financial model
- **Communications:** Worked with Marketing and Communications (MarCom) to create a new website, an internal newsletter (*Research Revealed*), a monthly research magazine (*The Brink*) and Research Annual report to promote the work of the faculty to external constituents; collaborated with MarCom to establish a science communication series

• **Research DEI**: Motivated by the successful University Day of Reflection, formed a committee comprised of staff from each of the areas within Research; committee presented a report to research leadership with short and long term recommendations, presented their report to the broader group of staff working in Research at our annual fall luncheon, appointed an implementation committee

Dean, College of Health & Rehabilitation Sciences: Sargent College 2005-2013

- Took on deanship at a time when undergraduate enrollments had dropped from 900 (1997) to 589 (2004)
- Academic programs had proliferated without an increase in faculty
- Research funding had dropped due to the transfer of a major research center to the School of Public Health and majority of clinical faculty were not involved in research or scholarship
- Worked with the faculty to develop a plan to narrow the focus and eliminate academic programs that were undersubscribed and to create new undergraduate programs
- Closed Department of Rehabilitation Counselling and several programs
- Developed new undergraduate major in Health Science, transitioned the undergraduate Human Physiology program to a viable pre-med option for undergraduates, instituted a college-wide freshman seminar and internships for students in all undergraduate programs, improved academic advising
- As a result of changes, number of applications, quality of the students and yield increased and overall undergraduate enrollment increased from 589 to 1,016 in 2011
- Strengthened the quality of the tenure-track faculty resulting in an increase in research funding from \$5.9 million to approximately \$10 million; faculty were successful in competing for a major Rehabilitation Research and Training Center
- Strengthen non-tenure track faculty by providing incentives for them to earn doctoral degrees and instituting a scholarship program which allowed them to apply on a competitive basis for a month of summer salary to engage in research or other scholarly work.
- Worked with faculty to increase the quality, ranking and visibility of graduate programs resulting in an increase in applications from 541 to 1,324 and an improvement in selectivity from 66% to 34%.

Dean ad-interim, School of Hospitality Administration	1/2012-7/2012
Chair, Dept. of Health Sciences, Boston University	2002-2005
Chair, Dept. of Speech, Language and Hearing Sciences	2001-2002
Acting Chair, Dept. of Speech, Language and Hearing Sciences	1999-2000
McGill University Acting Director School of Communication Sciences and Disorders • Served as the Director of 1 of 5 Schools within the Faculty of Medicine	1996-1997
Research Director	1990-92
Ph.D. Program Director	1990-92

# UNIVERSITY SERVICE

Boston University	
University-level Committees	
Member Search Committee for VP of Human Resources	2021
Member Search Committee for Sr. Director of Occupational Health	2021-2022
Member Provost's Cabinet	2013-present
Member President's Administrative Group	2013-present
Member University Leadership Group	2013-present
Chair Research Computing Governance Committee	2013-present
Chair Research and Scholarly Activities Committee	2013-present
Member Working Group on Gender-based Harassment	2019
Member Charles River Campus IRB Advisory Board	2011-2013
Member University-Industry Relations Committee	2012-2013
Member Task Force Evaluating BU Student Health Services	2012-2013
Member of the University Research Council	2011-2013

Member of the BU Clinical Translational Science Award Advisory Board	2010-present
Member of the SHA Dean Search Committee	2012
Member of the Search Committee for Chair of BU Orthopedics	2011-2012
Member of the Search Committee for the Chair of BU Family Medicine	2011-2012
Member of Task Force on Funding of Doctoral Students	2010-2011
Member Advisory Committee Concerning the Merger of the CRC and	2009-2010
MED IRBs	
Member, Task Force on Post-doctoral Affairs	2009-2010
Member, Research Support Committee	2009-2010
Member, Boston University Conflict of Interest Task Force	2009-2010
Co-chair, Boston University Freshman Orientation Task Force	2009-2010
Chair, Boston University Search Committee for University Ombuds	2008-2009
Co-chair, Boston University Curriculum and Degrees Committee	2007-2010
Member, Dean Search Committee, College of Engineering, Boston University	2005-2006
Member, Boston University Presidential Search Committee	2005-2006
Member, Boston University Promotion and Tenure Committee	2000-2001
Other Significant University-level Service	
University Response to COVID-19	2020-2023

- Played a major role in the non-medical aspects of the University's response to COVID
- Oversaw ramp down and reinstatement of research
- Led a committee of faculty in BME and leadership in key areas of the University tasked with determining the feasibility of developing a CLIA certified testing lab to administer over 5,000 tests a day and also of developing a financial model for its implementation
- Oversaw the hiring of a lab manager and 35 staff for the lab and almost 200 staff for the collection sites and served as the member of the senior leadership responsible for the operation of the lab and collection sites, ensuring that they met our goals in terms of cost and operational efficiency
- Chaired the Community Health Oversight Group leadership from across the University which monitored the health of the campus daily; provided leadership with recommendations for new policies related to COVID
- Co-chaired the Vaccine Preparedness Group to make recommendations about requirements for vaccine and work with the State and City officials to have BU be a distribution site for vaccines in Phase II and beyond, to serve as the point of contact with the State and to create priorities for vaccine distribution and prioritized lists of individuals according to State guidelines to be implemented in a phased approach.
- Member of the Augmented Budget Committee: Provided input on the budgetary implications of implementing public health measures
- Post-COVID, worked with the faculty to transform the lab to a university-wide core for research as well as to put in place policies and procedures for the use of data collected in the lab for research purposes

#### Co-chair, Council on Faculty Diversity and Inclusion

#### 2006-2009

- At the request of the President, co-chaired with the University Provost and Chair of Faculty Council, a Council of 13 faculty whose goal was to recommend policies and actions to the President and Provost that would help Boston University sustain an environment that attracts and supports a diverse faculty with the ultimate goal of enriching the intellectual environment and increasing excellence
- Gathered data on the demographics of the faculty at BU, faculty satisfaction, and faculty salaries and surveyed best practices concerning a wide variety of issues ranging from conducting faculty searches, to faculty development and family-friendly policies
- Carried out first University-wide climate survey of faculty and staff
- Implemented recommendations including developing a search manual that was distributed to deans, chairs, and search committee members, and chairing the search for a University Ombuds

#### External Committees

Chair, MGHPCC Executive Committee Member, AAU Senior Research Officers (SRO) planning committee Member, AAU (SRO) Committee Member, MGHPCC Executive Committee Member, University Research Association	2019-2021 2019-2023 2013-2019 2013-2019 2013-present
College-level CommitteesChair, Sargent College Administrative Advisory CouncilMember, Sargent College Management TeamMember, Sargent College Budget CommitteeChair, Sargent College Committee on Academic Promotion and TenureMember, Dean's Advisory CouncilMember, Strategic Planning CommitteeMember, Academic Advisory CouncilMember, Sargent College Web CommitteeMember, Sargent College Committee on Academic Promotion and TenureMember, Sargent College Web CommitteeMember, Sargent College Committee on Academic Promotion and TenureMember, Sargent College Committee on Academic Promotion and TenureMember, Sargent College Committee on Academic Promotion and TenureMember, Admissions CommitteeChair, Faculty Search CommitteeChair, Graduate Curriculum Committee	2005-2013 2001-2005 2001-2005 2000-2001 1999-2000 1999-2000 1999-2000 1999-2000 1997-2000 1998-2000 2000-2001 1997-2004 1997-2004
<ul> <li>McGill University</li> <li><u>University-level Committees</u></li> <li>Member of the Faculty of Medicine Executive Deanery</li> <li>Member of the Faculty of Medicine Committee on Student Placements</li> <li>Member of the Canadian Council of Directors of University Programs in Speech Language Pathology and Audiology</li> <li>Member of the Executive Committee of the Order of Speech Language Pathologists and Audiologists of Quebec</li> <li>Member McGill University Faculty Senate</li> <li>Director of the McGill-IBM Cooperative Project</li> <li>Member of the Faculty of Medicine Executive Committee</li> <li>Director of the Cognitive Psychopathology Section of the McGill-IBM Co-operative Project</li> <li>Council Member, McGill University Faculty of Graduate Studies and Research</li> </ul>	1996-1997 1996-1997 1996-1997 1996-1997 1995-1996 1990-1992 1990-1992 1988-1992 1989-1990
<u>School-level Committees</u> Chair Task Force on the Ph.D. Program Member of Faculty Search Committee in Audiology Member of Faculty Search Committee in Speech-language Pathology Member of the Curriculum Committee Member of Search Committee for a Director Member of the Admissions Committee for Speech Language Pathology <u>OTHER APPOINTMENTS</u> Associate in Neurology, Massachusetts General Hospital and Harvard	1990 1990 1989 1989-1994 1987 1985-1997 1993-2005
University, Boston, MA. Research Associate, Spaulding Rehabilitation Hospital, Boston, MA. Lorraine C. Snell Visiting Professor in Health Care, Dept. of	1993-2000 1994-1995

Communication Disorders, Northeastern University, Boston, MA.	
Neuropsychology Intern, Dept. of Neurology, Montreal Children's Hospital	1980-81
Lecturer, Concordia University, Psychology Department, Montreal	1981-84
Part-time Psychologist, Lansdowne Tutoring Center, Montreal	1977-78
Intern, McGill Montreal Children's Hospital Learning Center	1976
Intern, Applied Psychology Center, Concordia University	1975-1977
Part-time Psychometrician, Laval School Commission	1975
Teaching Assistant, Psychology Department, Concordia University	1975-1976
Teaching Assistant, Psychology Department, McGill University	1974-1975
Research Assistant, Speech and Language Research Project,	1973-1974
Jewish General Hospital, Montreal	

# EDUCATIONAL HONORS AND AWARDS

Royal Society London, U.K./Natural Sciences and Engineering	1992-1993
Research Council of Canada Bilateral Exchange Fellowship	
Career Investigator Awards (Salary Support)	
Medical Research Council of Canada Centennial Fellowship	1985-1987
National Health and Welfare Canada National Health Scholar Award	1985-1990
Fonds de la Recherche en Sante du Quebec Chercheur Boursier	1985-1988
Award	
Natural Sciences and Engineering Research Council of Canada	1985-1993
Faculty Award for Women	
Post-graduate	
Social Sciences and Humanities Research Council of Canada	1981-1983
Post-doctoral Fellowship	
Graduate	
Quebec Government Graduate Fellowship	1976-1980
Canada Council Doctoral Fellowship	1978-1981
Undergraduate	
Scholarship for High Scholastic Achievement, McGill University	1974-75
First Class Honors, McGill University	1975-1976
University Scholar, McGill University	1975-1976

## RESEARCH AND SCHOLARSHIP

Research Interests Individual Differences in Working Memory Capacity and Language Comprehension Sentence Comprehension in Aphasia Localization of Language Functions Psycholinguistic Assessment of Acquired Language Disorders Acquisition of Reading and Spelling Skills Assessment of Reading Skills in Older Struggling Readers Effects of Deafness on Word Recognition and Reading Ability

<u>Teaching Experience</u> Psychology of Reading Adult Language Disorders Aging and Communication Language Learning Disabilities Research Methods

# EXTERNAL RESEARCH EVALUATION

Membership on Research Grant and Scholarship Committees	
Member of Peer Review Panel for the CDC Health Promotion and Disease Prevention Research Centers: Special Interest Project Competitive Supplements	2013
Ad Hoc Member of the Perception and Cognition Study Section Panel, National Institutes of Health	2000-2001
Member of the Behavioral Sciences Evaluation Committee for Research Grants, Medical Research Council of Canada (MRC)	1994-1997
Member of the Psychology and Linguistics Evaluation Committee for Team Research Grants, Le Fonds Pour La Formation du Chercheurs et l'Aide a la Recherche (FCAR)	1991-1994
Member of the Selection Committee for University Research Fellowships and Faculty Awards for Women, Natural Sciences and Engineering Research Council of Canada (NSERC)	1990-1993
Member of the Life Sciences Scholarships and Fellowships Committee Natural Sciences and Engineering Research Council of Canada (NSERC)	1989-1990
Member of the Basic Science Graduate Scholarship Committee, Le Fonds Pour La Formation du Chercheurs et l'Aide a la Recherche (FCAR)	1988-1990
Member of the Applied Science Graduate Scholarship Committee, Le Fonds Pour La Formation du Chercheurs et l'Aide a la Recherche (FCAR)	1987-1988
Grant Reviews	
Social Science and Humanities Research Council of Canada Natural Sciences and Engineering Research Council of Canada Medical Research Council of Canada Fonds de la Recherche en Sante du Quebec Le Fonds FCAR (Quebec)	
Journal Editorial Reviews	
Memory and Cognition Journal of Experimental Child Psychology Language and Cognitive Processes Journal of Memory and Language Quarterly Journal of Experimental Psychology Cognitive Neuropsychology	

Cognitive Neuropsychology

Journal of Experimental Psychology: Learning, Memory and Cognition Speech and Language Journal of Speech Language Pathology and Audiology The Volta Review Canadian Journal of Behavioral Science

# EXTERNAL FUNDING

<b>U.S. Department of Education: Institute of Education Sciences</b> Title: Assessment of Comprehension in Older Struggling Readers Principal Investigator: <b>G. Waters</b> , Co-investigator: D. Caplan Total Costs: \$1,597,063	2010-2014
National Institute of Deafness and Communication Disorders (R21/R33) Title: Theoretically Based Treatment for Sentence Comprehension in Aphasi Principal Investigator: S. Kiran, Co-PI's: D. Caplan, G. Waters Total Costs: \$2,234,980	
National Institute of Deafness and Communication Disorders (R01) Title: Functional Neuroimaging Studies of Syntactic Comprehension Principal Investigator: D. Caplan, Co-investigator: G. Waters Total Costs: \$1,653,825	2007-2010
<b>U.S. Department of Education: Institute of Education Sciences</b> Title: Assessment of Comprehension in Older Struggling Readers Principal Investigator: <b>G. Waters</b> , Co-investigator: D. Caplan Total Costs: \$1,255,025	2005-2009
National Institute of Aging (R01) Title: Language Comprehension in Aging and AD Principal Investigator: G. Waters, Co-investigators: D. Caplan, N. Alpert Total Costs: \$1,753,976	2002-2007
<ul> <li>National Institute of Deafness and Communication Disorders (R01)</li> <li>Title: Plausibility and Syntactic Processing Load</li> <li>Principal Investigator: N. Pearlmutter, Co-investigators: D. Caplan,</li> <li>G. Waters, P. Holcomb</li> <li>Total Costs: \$2,409,500</li> </ul>	2002-2007
National Institute of Deafness and Communication Disorders (R01) Title: Sources of rCBF Effects in Syntactic Comprehension Principal Investigator: D. Caplan, Co-investigators: N. Alpert G. Waters Total Costs: \$950,386	2002-2007
National Institute of Deafness and Communication Disorders (R01) Title: Functional Neuroimaging Studies of Syntactic Processing Principal Investigator: D. Caplan, Co-investigator: G. Waters Total Costs: \$1,653,825	2001-2006

National Institute of Deafness and Communication Disorders (R01) Title: An Investigation of the Trace Deletion Hypothesis Principal Investigator: D. Caplan, Co-investigators: G. Waters, N. Alpert Total Costs: \$606,300	2000-2004
National Institute of Aging (R01) Title: Sentence Processing in Aging and DAT Principal Investigator: G. Waters, Co-investigators: D. Caplan, N. Alpert Total Costs: \$1,247,695	1997-2002
National Institute of Deafness and Communication Disorders (R01) Title: Localization of Disorders of Syntax-Based Comprehension Principal Investigator: D. Caplan, Co-investigators: G. Waters, N. Alpert Total Costs: \$2,256,184	1997-2002
Medical Research Council of Canada Title: Working Memory Capacity and Language Comprehension in Parkinson's Disease Principal Investigator: G. Waters Total Costs: \$370,765	1997-2000
<b>Natural Sciences and Engineering Research Council</b> Title: The nature of the representations used in auditory-verbal short-term memory Principal Investigator: <b>G. Waters</b> Total Costs : \$160,000	1996-2000
<b>Le Fonds de Recherche en Sante du Quebec (FRSQ)</b> Title: Early diagnosis of Alzheimer's disease Multidisciplinary investigation of mild memory impairment in the elderly Principal Investigators: H. Chertkow, D. Lorrain, <b>G. Waters</b> Total Costs: \$134,532	1997-1999
<b>Le Fonds FCAR</b> Title: Selective impairments of the ability to abstract form and meaning Principal Investigators <b>G. Waters</b> , S. Baum & H. Chertkow Total Costs: \$113,059	1996-1999
Medical Research Council of Canada Title: Working Memory and Language Comprehension Principal Investigator: G. Waters Total Costs: \$135,000	1994-1997
Alzheimer's Association of America Title: Cognitive and Positron Emission Tomography Studies in Alzheimer's Disease Co-investigators: <b>G. Waters</b> , H. Chertkow Total Costs: \$65,000	1994-1997
Le Fonds de Recherche en Sante du Quebec (FRSQ)	1995-1997

Title: Early diagnosis of Alzheimer's disease Multidisciplinary investigation of mild memory impairment in the elderly Co-investigators: H. Chertkow, D. Lorrain, <b>G. Waters</b> Total Costs: \$150,000	
National Institutes of Aging Title: Processing resources and sentence comprehension in patients with DAT Principal Investigator: D. Caplan, Co: PI's <b>G. Waters</b> and J. Sherman Total Costs: \$890,723	1992-1996
National Institute on Neurological Disease and Stroke Title: Neuropsychological models and focal CNS disease Prinicipal Investigator: D. Caplan, Co: Investigator: G. Waters Total Costs: \$689,130	1993-1997
<b>Natural Sciences and Engineering Research Council of Canada</b> Title: The nature of representations used in language processing Principal Investigator: <b>G. Waters</b> Total Costs: \$87,000	1993-1996
<b>Le Fonds FCAR</b> Title: Selective impairments of the ability to abstract form and meaning Principal Investigators: <b>G. Waters</b> , S. Baum, H. Chertkow, & D. Bub Total Costs: \$264,000	1993-1996
<b>Le Fonds de Recherche en Sante du Quebec (FRSQ)</b> Title: Early diagnosis of Alzheimer's disease Co-investigators: H. Chertkow, D. Lorrain & <b>G. Waters</b> Total Costs: \$150,000	1993-1995
Medical Research Council of Canada Title: Disorders of syntactic comprehension Principal Investigator G. Waters; Co-investigator Dr. D. Caplan Total Costs: \$135,000	1991-1994
<b>Hoffmann-LaRoche Inc.</b> Title: Cognitive studies of Lazabemide in Alzheimer's Disease Co-investigator with H. Chertkow Total Costs: \$75,000	1993-1994
Natural Sciences and Engineering Research Council of Canada Title: An investigation of the processes used in single word reading and spelling: Insights from the congenitally deaf Principal Investigator: G. Waters Total Costs: \$74,460	1990-1993
<b>Alzheimer's Association of America</b> Investigator-Initiated Research Grant Title: Processing resources in sentence comprehension in aging and dementia of the Alzheimer's type	1990-1993

Principal Investigator: G. Waters Total Costs: \$132,984	
<b>Le Fonds FCAR</b> Title: The role of auditory verbal short-term memory in language comprehension Principal Investigators: <b>G. Waters</b> , S. Baum, T. Gallagher, K. Watkin	1989-1992
Total Costs: \$88,500	1000 1001
Medical Research Council of Canada Title: Syntactic comprehension after brain damage and syntactic comprehension in aphasia Principal Investigator: G. Waters Total Costs: \$188,111	1988-1991
<b>Natural Sciences and Engineering Research Council of Canada</b> Title: Processes involved in word recognition and sentence comprehension Principal Investigator: <b>G. Waters</b> Total Costs: \$44,000	1988-1990
<b>Natural Sciences and Engineering Research Council of Canada</b> Title: The Acquisition of Spelling Skills in Children Principal Investigator: <b>G. Waters</b> Total Costs: \$48,000	1985-1988
<b>Fonds de la Recherche en Sante du Quebec</b> Title: An Investigation of the Reading Skills of the Congenitally Deaf Principal Investigator: <b>G. Waters</b> Total Costs: \$40,000	1985-1988

# PUBLICATIONS and PRESENTATIONS

# **<u>Peer-Reviewed Papers</u>**

- Hoover, E.L., Caplan, D.N., Waters, G.S., Carney, A. (2016). Communication and quality of life outcomes from an interprofessional intensive, comprehensive, aphasia program (ICAP). Topics in Stroke Rehabilitation.
- Caplan, D., Waters, G., Bertram, J., Ostrowski, A., Michaud, J, (2015) Effects of Written and Auditory Language Processing Skills on Written Passage Comprehension in Middle and High School Students, Reading Research Quarterly, 51(1), 67-92
- Evans, W., Caplan, D., Ostrowski, A., Michaud, J., Guarino, A. J., Waters, G. (2015), Working Memory and the Revision of Syntactic and Discourse Ambiguities. **Canadian Journal of Experimental Psychology**
- Hoover, W., Caplan, D., Waters, G., Budson, A (2014), Effects of Impairment-Based Individual and Socially-Oriented Group Therapies on Verb Production in Aphasia, **Aphasiology**

- Caplan, D. & Waters, G. (2013). Memory mechanisms supporting syntactic comprehension. **Psychon Bull Rev**, 20(2), 243–68.
- Caplan, D., Michaud, J., Hufford, R, Waters, G.S. (2013). Short-term memory and syntactic comprehension in aphasia. **Procedia Social and Behavioral Sciences**.
- Caplan, D., Waters, G. (2013) Working Memory and Sentence Comprehension, **Psychological Bulletin and Review**.
- Caplan D., Waters G.S., Howard, D. (2012). Slave Systems in Verbal Short Term Memory, Aphasiology, 26, 279-316(38).
- Levy, J., Hoover, E., Waters, G., Kiran, S., Caplan, D., Berardino, A., & Sandberg,
   C. (2012). Effects of Syntactic Complexity, Semantic Reversibility, and Explicitness
   on Discourse Comprehension in Persons With Aphasia and in Healthy Controls.
   American Journal of Speech Language Pathology, 21(2), S154-165. PMC3371337.
- Evans, W.S., Waters, G.S., & Caplan, D. (2011). Effects of concurrent arithmetical and syntactic complexity on self-paced reaction times and eye fixations. Psychological Bulletin and Review, 18(6), 1203-1211.
- Caplan, D., DeDe, G., Waters, G., Michaud, J., Tripodis, Y. (2011). Effects of Age, Speed of Processing and Working Memory on Comprehension of Sentences with Relative Clauses, Psychology and Aging, 26(2), 439-450.
- Caplan, D., DeDe, G., Waters, G., Michaud, J., Tripodis, Y. (2011). Effects of Age, Speed of Processing and Working Memory on Comprehension of Sentences with Relative Clauses, Psychology and Aging, 26(2), 439-450.
- McAllister, T., Bachrach, A., Waters, G., Michaud, J. & Caplan, D. (2009). Production and comprehension of unaccusatives in aphasia. Aphasiology, 23(7-8), 989-1004.
- Caplan, D., Chen, E. & Waters, G. (2008). Task-dependent and task-independent neurovascular responses to syntactic processing. **Cortex**, 44(3), 257-275.
- Caplan, D., Stanczak, L., Waters, G. (2008). Syntactic and thematic constraint effects on blood oxygenation level dependent signal correlates of comprehension of relative clauses. J Cogn Neurosci. 20(4), 643-56.
- Caplan, D., Waters, G., Dede, G., Michaud, J. & Reddy, A. (2007). A study of syntactic processing in aphasia I: behavioral (psycholinguistic) aspects. **Brain Lang. 101**(2), 103-150.
- Caplan, D., Waters, G., Kennedy, D., Alpert, N., Makris, N., Dede, G., Michaud, J. & Reddy, A. (2007). A study of syntactic processing in aphasia II: neurological aspects. Brain Lang. 101(2), 151-177.
- Caplan, D., Stanczak, L., Waters, G. (2007). Syntactic and thematic constraint effects on BOLD signal correlates of comprehension of relative clauses. Brain and Language, 103(1-2), 8-9.

- Chen, E., Caplan, D., West, W.C. & Waters, G.S. (2006). Determinants of BOLD Signal Correlates of Processing Object-Extracted Relative Clauses, **Cortex**, **42**, 591-604.
- Stanczak, L., Waters, G. & Caplan, D. (2006). Typicality-based learning and generalization in aphasia: two case studies of anomia. **Aphasiology**, **20**, 374-383.
- Caplan, D., Chen, E., Waters, G. & Robakis, D. (2005). Syntactic and semantic influences on BOLD signal responses to comprehension of relative clauses. Brain and Language, 95, 72-73.
- Waters, G.S. & Caplan, D. (2005). The relationship between age, processing speed, working memory capacity and language comprehension. **Memory**, **13**, 403-413.
- Caplan, D., Waters, G., DeDe, G., Michaud, J. & Reddy, A. (2004). A Study of Syntactic Processing in Aphasia I: Behavioral (Psycholinguistic) Aspects, **Brain and Language**, **91**, 64-65.
- Caplan, D., Waters, G., Kennedy, D., Alpert, N., Makris, N., DeDe, G., Michaud, J. & Reddy, A. (2004). A Study of Syntactic Processing in Aphasia II: Neurological Aspects, **Brain and Language**, **91**, 66-67.
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# **Book Chapters**

- Traxler, M.J., Caplan, D., Long, D.L., & Waters, G.S. (in press). Working memory in sentence comprehension and production. In F. Columbus (Ed.), Working Memory: Capacity, Developments, and Improvement Techniques. Hauppage, NY: Nova Science Publishers.
- Caplan, D. & Waters, G. (2006). Disorders of Aphasic Comprehension, in M. Traxler (Ed.), Handbook of Psycholinguistics.
- Caplan, D. & Waters, G.S., & DeDe, G. (2006). Specialized verbal working memory for language comprehension. To appear in A. Conway, C. Jarrold, M. Kane, A.Miyake, & J. Towse, (Eds.) Variations in working memory. Oxford University Press.
- Caplan, D. & Waters, G.S. (2006). Language disorders in aging. To appear in E. Bialystok & G. Craik (Eds.) Lifespan Cognition: Mechanisms of Change. Oxford University Press.

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- Mayberry, R. & Waters, G.S. (1991). Children's memory for sign and fingerspelling in relation to production rate and sign language input. In P. Siple (ed.), Theoretical Issues in Sign Language Research Vol. 2. University of Chicago Press, pp. 211-229.
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   Neuropsychological impairments of short-term memory. Cambridge University Press, pp. 337-389.
- Waters, G.S. & Doehring, D. (1990). Reading Acquisition in Congenitally Deaf Children who Communicate Orally: Insights from an Analysis of Component Reading, Language, and Memory Skills. In T. Carr & B.A. Levy (eds.) Reading and Its Development: Component Skills Approaches, Academic Press, pp. 323-373.
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## **Invited Presentations**

- Assessment of Reading and Language Skills in Middle and High School Students, National Research Council, Washington, D.C., September 2009.
- The roles of general cognitive capacities and domain-specific skills in language comprehension, Fondation Maison des Sciences de l'homme, Paris, France, 2008.
- Effects of Age, Working Memory and Speed of Processing on Language Comprehension, Aging and Speech Communication Conference, Bloomington, IN, 2007.
- Sentence comprehension in normal aging and after neuropathology. Invited symposium paper to be presented at the American Speech and Hearing Association, Philadelphia, PA., November, 2004.
- A Study of Syntactic Processing in Aphasia I: Behavioral (Psycholinguistic) Aspects, Academy of Aphasia, Chicago, October 2004.
- A Study of Syntactic Processing in Aphasia II: Neurological Aspects, Academy of Aphasia, Chicago, October 2004.
- Specialized verbal working memory for language comprehension. Invited presentation at the conference on **Variation in Working Memory**, University of Illinois at Chicago, August, 2003.
- The Role of Broca's Area in Language Processing. Invited symposium paper presented at the **Academy of Aphasia**, Santa Fe, AZ., October, 1998.
- How specialized is Working Memory? Evidence from Normals, Brain-damaged patients and Neuroimaging. Colloquium presented at the **Five Colleges Cognitive Science Series**, Amherst, MA., October, 1998
- Fractionation of working memory. Colloquium presented in the Psychology Department, Concordia University, Montreal, October, 1998.
- Working memory and sentence comprehension: Evidence from individual differences. Invited presentation at the Sedona conference on **Constraints on Language: Grammar, Memory and Aging** organized by the Virginia and Fred Merrill Advanced Studies Center, March, 1998, Sedona, Az.
- Working Memory and Language Processing. Colloquium presented in the Psychology Department, **Bowdoin College**, Brunswick, ME, March, 1997.
- Evidence for Multiple Working Memory Capacities in Language Comprehension. Invited Symposium paper presented at the **European Workshop on Cognitive Neuropsychology**, Bressanonne, Italy, January, 1996.
- Single or Multiple Working Memory Capacities? Evidence from patients and dual-task studies. Symposium paper presented at the **Psychonomics Society**, Los Angeles, CA., November,

1995.

- Language Processing after Stroke and Dementia. Department of Speech Language Pathology, **Emerson College**, Boston, MA., January, 1994.
- The Nature of the Processing Resource System used in Language Comprehension. Department of Psychology, **Brandeis University**, Waltham, MA., March, 1994.
- What the study of patients tells us about the nature of the processing resource system used in language comprehension. Department of Speech Language Pathology and Audiology, Northeastern University, Boston, MA., March, 1994.
- Language and memory in aging and dementia. Instituto di Gerontologia e Geriatria e Clinica Urologica, **Universita di Firenze**, Florence, Italy, May, 1993.
- Short-term memory, processing capacity and language comprehension. Cognitive Science Group, University of Arizona, Tucson, Arizona, March, 1993.
- Processing resources and language comprehension. Psychology Department, University of Lancaster, Lancaster, U.K., December, 1992.
- The role of short-term memory in language comprehension. Psychology Department, University College London, London, U.K, November, 1992.
- Short-term memory, processing capacity and sentence comprehension. Psycholinguistics Lab, **Birkbeck College,** London, U.K., November, 1992.
- Language comprehension in Alzheimer's Disease. MRC Applied Psychology Unit, Cambridge, U.K., November, 1992.
- The acquisition of literacy skills in congenitally deaf children. Behavioral Science Research Group, **The Hospital for Sick Children**, Toronto, Ontario, April, 1991.
- The structure and role of auditory verbal short-term memory. Psychology Department, **Concordia University**, Montreal, April, 1991.
- Processing resources and stages of sentence comprehension in patients with Alzheimer's disease. Psychology Department, **Concordia University**, Montreal, December, 1991.
- Reading acquisition in congenitally deaf children who communicate orally: Insights from an analysis of component reading, language and memory skills. Psychology Department, **McMaster University**, Hamilton, Ontario, April, 1989.
- On the nature and functional role of auditory verbal short-term memory in language comprehension. **Centre Hospitalier Cote des Neiges**, Laboratoire, Th. Alajouanine, Montreal, Quebec, April, 1989.
- A review of the Neuropsychological case studies on the role of short-term memory in language comprehension. Paper presented as part of an invited symposium at the **Sixth**

**International Congress on Cognitive Neuropsychology**, Bressanone, Italy, January, 1988.

- Component processes in reading and spelling. Neurolinguistics Laboratory, Massachusetts General Hospital, Boston, MA, March, 1988.
- Factors influencing the acquisition of reading and spelling skills. Keynote speaker at Parents and Teachers Conference, Landmark School for Learning Disabled Children, Beverley, MA., March, 1988.
- Short-term memory, language comprehension and apraxia of speech. Neuropsychology Interest Group, **University of Toronto**, Toronto, Ontario, November, 1988.
- The role of short-term memory in automatic and controlled language processing. Paper presented with D. Caplan at a conference on **Neuropsychological Impairments of Short-term Memory**, Como, Italy, September, 1987.
- Component processes in reading and spelling. Psychology Department, **Montreal Neurological Institute**, Montreal, November, 1987.
- The role of the articulatory loop in reading. Psychology Department, **Montreal Neurological Institute**, Montreal, May, 1986.
- Working memory and sentence comprehension. Haskins Research Laboratories, New Haven, Conn., June, 1986.
- Working memory and written sentence comprehension. Paper presented at the meeting of **Attention and Performance XII**, London, England, August, 1986.

### **Papers Presented at Conferences**

- Waters, G.S., Caplan, D., Michaud, J., and Bertram, J. Assessment of reading and listening comprehension in middle and high school students. Society for the Scientific Study of Reading, 2012, Montreal, Canada.
- Waters, G.S. and Caplan, D. Factors predicting reading comprehension in middle and high school students. **Institute for Educational Sciences**, 2012, Washington, DC.
- Hoover, E., Waters, G., Caplan, D., Carney, A. An Intensive Interdisciplinary Treatment Program for Individuals with Aphasia. Platform presentation at American Speech-Language and Hearing Association, 2012, Atlanta, GA.
- Evans, WS, Caplan, D, and Waters, G. Effects of Age, Speed of Processing, and Working Memory on Processing of Pronominal Co--Reference and Attachment Ambiguity in Sentences. Poster presentation, annual **Cognitive Aging Conference**, 2012, Atlanta, Georgia.
- Kiran, S, Caplan, D, Villard, S, Ascenso, E, & Waters, G. Effects of training sentence to picture matching and object manipulation to improve sentence comprehension in aphasia: acquisition and generalization. Academy of Aphasia, 2012, San Francisco, USA

- Hoover, E., Waters, G., Levy, J., Caplan, D., Kiran. S., Berardino, A., & Sandberg, C., Effects of Syntactic Complexity in Discourse Comprehension. Clinical Aphasiology Conference, 2011, Fort Lauderdale, FL.
- Evans, W.S., Waters, G., & Caplan, D. Obligatory Deep Processing: Task Effects in Sentence Reading During Eye Tracking. Abstract for poster presentation, annual American Speech-Language-Hearing Association Conference, 2011, San Diego, California.
- Evans, W.S., Waters, G., & Caplan, D. On-line Interaction of Mathematical and Syntactic Demand: Failure to Replicate. Abstract for poster presentation, 23rd annual **CUNY Conference on Human Sentence Processing**, 2010, New York, New York.
- Waters, G.S., Caplan, D., Michaud, J., and Bertram, J. Assessment of reading and listening comprehension in middle and high school students. **Society for the Scientific Study of Reading**, 2010, Berlin, Germany.
- Waters, G.S. and Caplan, D. Factors predicting reading comprehension in middle and high school students. **Institute for Educational Sciences**, 2010, National Harbor, MD
- Caplan, D., Waters, G., DeDe, G. & Michaud, J. Influence of Age, SOP, WM, and vocabulary on syntactic processing. **Cognitive Aging Conference**, 2010, Atlanta, GA.
- Evans, W. S., Waters, G.S., and Caplan, D. On-line interaction of mathematical and syntactic demand: Failure to replicate. **CUNY Sentence Processing Conference**, 2010, New York, NY.
- Waters, G.S. and Caplan, D. Determinants of discourse comprehension in middle and high school students. **Institute for Educational Sciences**, 2009, Washington, DC.
- Waters, G.S., Caplan, D., & Michaud, J. Factor analysis of reading skills in middle and high school students. **Psychonomic Society**, 2009, Boston, MA.
- Caplan, D., DeDe, G., Waters, G.S., & Michaud, J. Age-related changes in comprehension of sentences with relative clauses. CUNY Sentence Processing Conference, 2009, Davis, CA
- Caplan, D. and Waters, G.S. Assessment of middle school literacy. Presentation to the **Strategic Education Research Partnership**, 2008, Boston, MA
- Caplan, D., DeDe, G., Waters, G.S., Michaud, J., Gutman, R., & Liu, J. Rasch Models of Aphasic Syntactic Comprehension. **Psychnomic Society**, 2008, Chicago, IL.
- Caplan, D., Waters, G., & DeDe, G. Effects of Age, Working Memory, and Speed of Processing on Complex Syntactic Processing. **Cognitive Aging Conference**, 2008, Atlanta, GA.
- Caplan, D., Waters, G., & DeDe, G. Effects of Age, Working Memory, and Speed of Processing on Satisfied Goal Effects. **Cognitive Aging Conference**, 2008, Atlanta, GA.

- Caplan, D., Waters, G., & DeDe, G. Effects of Age, Working Memory, and Speed of Processing on Change of Co-Indexation of a Pronoun to an Unenforced Referent in Discourse Processing. **Cognitive Aging Conference**, 2008, Atlanta, GA.
- Caplan, D., Waters, G.S., Michaud, J., & Lee, J.M. BOLD Signal Response to Syntactic and Semantic Variables in Font Change Detection. **CUNY Sentence Processing Conference**, 2008, Chapel Hill, NC.
- DeDe, G., Caplan, D., & Waters, G. Effects of Age, Working Memory, and Speed of Processing on Recovery from Garden Path Effects in Early Closure Sentences. **Cognitive Aging Conference**, 2008, Atlanta, GA.
- Gould, J., Caplan, D., & Waters, G. The Formation of Elaborative and Bridging Inferences. **Psychnomic Society**, 2008, Chicago, IL.
- McAllister, T., Caplan, D. & Waters, G. Production and Comprehension of Unaccusatives in Aphasia. Clinical Aphasiology Conference, 2008, Jackson Hole, WY.
- Stanczak, L., Caplan, D., Peralmutter, N., & Waters, G. Mechanisms Underlying Lexical and Syntactic Ambiguities. CUNY Sentence Processing Conference, 2008, Chapel Hill, NC.
- Waters, G.S., Caplan, D., & DeDe, G. Effects of Age, Working Memory and Speed of Processing on Syntactic Processing. **Psychnomic Society**, 2008, Chicago, IL.
- Waters, G.S. and Caplan, D. A Linguistic Computer-based Reading Battery. Institute for Educational Sciences, 2008, Washington, D.C.
- Waters, G.S., Caplan, D., & DeDe, G. Effects of Age, Working Memory, and Speed of Processing on the Repeated Name Penalty. **Cognitive Aging Conference**, 2008, Atlanta, GA.
- Waters, G.S., Caplan, D., & DeDe, G. Effects of Age, Working Memory, and Speed of Processing on Syntactic Processing Costs with Relative Clauses. **Cognitive Aging Conference**, 2008, Atlanta, GA.
- Waters, G.S. and Caplan, D. Computerized Psycholinguistic Assessment of Written and Spoken Language Skills in Middle School Students. **Institute for Educational Sciences**, 2007, Washington, D.C.
- Caplan, D., and Waters, G. Task and Strategy Effects in fMRI Studies of Syntactic Processing. European Cognitive Neuroscience Society, 2007, Bressanone, Italy.
- Caplan, D., and Waters, G. BOLD Signal Response to Implicit Syntactic Processing. Cognitive Neuroscience Society, 2007, New York, NY.
- Stanczak, L., Caplan, D., Waters, Babbett, L., Lee, J., Kuperberg, G., & Pearlmutter, N. BOLD Signal Correlates of Semantic Plausibility as a Function of Working Memory and Task Demand. Cognitive Neuroscience Society, 2007, New York, NY.

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